2152 #6 SP PATENTS 112056-0022 5-22-03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Mark Muhlestein et al.	
.)	Examiner: Not yet assigned
Serial No.: 10/035,664)	RECEIVED
Filed: December 28, 2001	Art Unit: 2152 MAY 0 7 2003
For: ARCHITECTURE FOR CREATING) AND MAINTAINING VIRTUAL) FILERS ON A FILER)	Technology Center 2100
	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210
	May 2, 2003

CERTIFICATE OF MAILING

posited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 2, 2003.

Brigid M. Laffey

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

Please type a plus sign (+) inside this b	ox-> +
---	--------

PTO/SB/08A (0

	Approved for use through 10/31/2002. OMB 0651-0031
	U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons an	e required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known				
				Application Number	10/035,664	
INF	ORMATION I	DIS	CLOSURE	Filing Date	December 28, 2001	
STA	ATEMENT BY	/ A	PPLICANT	First Named Inventor	Mark Muhlestein et al.	
(8)				Group Art Unit	2152	
pi)	(use as many shee	its as	necessary)	Examiner Name	Not yet assigned	
Shilet	1	of	2	Attorney Docket Number	112056-0022	

U.S. PATENT DOCUMENTS U.S. Patent Document Name of Patentee or Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages or Relevant **Date of** Examiner Initials * Publication of Cite No.1 Kind Code² Number Cited Document Figures Appear (if known) MM-DD-YYYY 09/24/2002 6,457,130 Hitz et al. 10/05/1999 5,963,962 Hitz et al. 6,038,570 Hitz et al. 03/14/2000 MAY 0 7 2003 Technology Center 2 100

FOREIGN PATENT DOCUMENTS									
Examine		Fo	oreign Patent Do	cument	Name of Patentee or	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Т ₆	
	<u> </u>	ļ							
		 							
		†		· · · · · · · · · · · · · · · · · · ·					
		ļ							
									

Examiner Signature	Date Considered	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box	+
---	---

PTO/SB/08A (08-00)

Technology Genter 2100

Approved for use through 10/31/2002. OMB 0651-0031 atent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE

TATEMENT BY APPLICANT

Substitute for form 1449A/PTO

C mplete if Known

Application Numb r 10/035,664 RECEIVED

Filing Dat December 28, 2001

First Named Inventor Mark Muhlestein et al. MAY 0 7 2003

Group Art Unit 2152

MAY O B

(use as many sheets as necessary)

Examiner Name Not yet assigned
Attorney Docket Number 112056-0022

Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

DAVID HITZ et al. TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.

Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in-Progress, Revision Date: 3/26/2001

Fielding et al. (1999) Request for Comments (RFC) 2616, HTTP/1.1

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.